

PATENTS
CF-82

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick, et al.

Application No. : 10/615,721 Confirmation No. : 9421

Filed : July 8, 2003

For : SYSTEMS AND METHODS FOR IMPROVING THE LIQUIDITY AND DISTRIBUTION NETWORK FOR ILLIQUID ITEMS

Group Art Unit : 3629

New York, New York 10020
December 4, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

U.S. Patent

6,321,212 B1 Lange November 20, 2001

U.S. Patent Application Publications

2002/0099640 A1	Lange	July 25, 2002
2002/0147670 A1	Lange	October 10, 2002

Other Documents

David Edwards, Patent Backed Securitization: Blueprint For a New Asset Class, pp. 1-11, March 1, 2002.

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

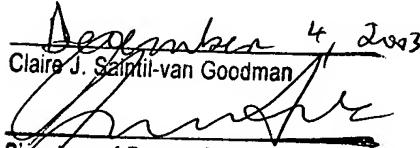
Because this Information Disclosure Statement is being filed before the mailing of a first Office action on the merits, no fee is believed due pursuant to 37 C.F.R. § 1.97(b)(3). However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

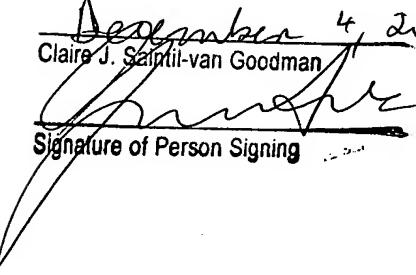
An early and favorable action is respectfully requested.

Respectfully submitted,


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I hereby certify that this Correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope Addressed to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on


Claire J. Saintil-van Goodman


Signature of Person Signing

A circular postmark from the U.S. Patent and Trademark Office. The text "U.S. PATENT & TRADEMARK OFFICE" is curved along the top and bottom edges. The date "DEC 08 2003" is in the center. The word "OCTOBER" is faintly visible at the top.

**U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**

ATTY. DOCKET NO.
CF-82

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APPlicants

CONFIRMATION NO.
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FILING DATE
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GROUP
3629

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,321,212 B1	11/20/01	Lange	705	37	
	2002/0099640 A1	07/25/02	Lange	705	37	
	2002/0147670 A1	10/10/02	Lange	705	35	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	David Edwards, Patent Backed Securitization: Blueprint For a New Asset Class, pp. 1-11, March 1, 2002.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.